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JC06 Rec'd PCT 17 MAY 2005

FORM PTO-1390 (Modified)  
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  
(REV 12-2004)

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <div style="border: 1px solid black; padding: 2px; text-align: center;">053466-0398</div>
INTERNATIONAL APPLICATION NO. PCT/JP2003/012034		U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) <div style="border: 1px solid black; padding: 2px; text-align: center;">10/528,405</div>
INTERNATIONAL FILING DATE 9/19/2003	PRIORITY DATE CLAIMED 9/20/2002	
TITLE OF INVENTION OIL-BASED INK COMPOSITION FOR A BALL-POINT PEN AND AN OIL-BASED BALL-POINT PEN		
APPLICANT(S) FOR DO/EO/US Shuji ICHIKAWA et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <div style="margin-left: 20px;"> <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).  <input type="checkbox"/> has been communicated by the International Bureau.  <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)         </div> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).           <div style="margin-left: 20px;"> <input type="checkbox"/> is attached hereto.  <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).         </div> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <div style="margin-left: 20px;"> <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).  <input type="checkbox"/> have been communicated by the International Bureau.  <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.  <input type="checkbox"/> have not been made and will not be made.         </div> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
Items 11 to 20 below concern other document(s) or information included:		
<ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement, International Search Rpt. and Form PTO/SB/08 under 37 CFR 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A preliminary amendment.</li> <li>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input type="checkbox"/> Other items or information:</li> </ol>		

U.S. APPLICATION NO. (if known, see 37 CFR. 1.5) <b>10/528,405</b>		INTERNATIONAL APPLICATION NO. PCT/JP2003/012034		ATTORNEY'S DOCKET NUMBER 053466-0398	
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21. <input type="checkbox"/> The following fees are submitted:					
<input type="checkbox"/> a) Basic national fee	\$300.00	\$	0.00		
<input type="checkbox"/> b) Examination fee	\$200.00	\$	0.00		
<input type="checkbox"/> c) Search fee	\$500.00	\$	0.00		
TOTAL OF ABOVE CALCULATIONS =		\$1000.00	\$	0.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
37 - 100 =	0 /50 =	0	x \$250.00		\$ 0.00
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))				\$	0.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		\$
Total Claims	12 - 20 =	0	x \$ 50.00		\$ 0.00
Independent Claims	3 - 3 =	0	x \$ 200.00		\$ 0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$ 360.00		\$
TOTAL OF ABOVE CALCULATIONS =				\$	0.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				+	\$ 0.00
SUBTOTAL =				\$	0.00
Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$	0.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$	0.00
				Amount to be refunded:	
				charged:	

  

a. ☐ A check in the amount of \$ 0.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 19-0741 in the amount of \$ 0.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0741. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charge to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Foley & Lardner LLP  
Customer Number: 22428

*Michael D. Kaminski*  
SIGNATURE  
Harold C. Wegner  
NAME  
25,258  
REGISTRATION NUMBER

*Reg No. 32,404*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Shuji ICHIKAWA et al  
Title: OIL-BASED INK COMPOSITION FOR A  
BALL-POINT PEN AND AN OIL-BASED  
BALL-POINT PEN  
Appl. No.: 10/528,405  
Filing Date: 03/18/2005  
Examiner: Unassigned  
Art Unit: 1755

**STATEMENT UNDER 37 C.F.R. §1.32(c)(3)**  
**DESIGNATING NO MORE THAN TEN**  
**PATENT PRACTITIONERS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §1.32(c)(3), the following ten or fewer patent practitioners named in the Power of Attorney should be recognized by the Office as being of record in the above identified application or patent.

Attorney Name	Registration Number
Harold C. Wegner	25,258

Respectfully submitted,

Date May 17, 2005

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